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Substitute for form 1449/PTO

Sheet 1

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/796,830			
Filing Date	March 9, 2004			
First Named Inventor	Roger Buelow et al.			
Art Unit				
Examiner Name				
Attorney Docket Number	2506			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
110	1	<sup>US-</sup> 5,982,969	11/09/1999	Sugiyama et al.	
BR	2	<sup>US-</sup> 5,307,245	04/26/1994	Myers et al.	
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		FORE	<b>GN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>6</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
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Examiner Signature	J.J. Rube	Date Considered	3/4/6

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(Use as many she	ets as n	ecessa <i>r</i> y)	Examiner Name	
Sheet 2	of	2	Attorney Docket Number	2506
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		NON PATENT LITERATURE DOCUMENTS	
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M	3	2 pages entitled "Uniglo Backlighting" from <a href="http://www.poly-optical.com/uniglo.html">http://www.poly-optical.com/uniglo.html</a> webpage (who viewed on 05/02/04) showing uniform extraction of light from a light guide - admitted as prior art.	en las.
MR	4	2 pages entitled "Design of Luxaura Light Guide" from <a href="http://www.luxaura.com/tech.shtml">http://www.luxaura.com/tech.shtml</a> webp last viewed on 05/02/04) showing emitting light in a radial swath – admitted as prior art.	age (w
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Sheet 1

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/796,830			
Filing Date	03/09/2004			
First Named Inventor	Roger F. Buelow II			
Art Unit	2874			
Examiner Name				
Attorney Docket Number	2506	フ		

U. S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where Examiner Document Number Publication Date MM-DD-YYYY Relevant Passages or Relevant **Applicant of Cited Document** Initials\* No. Figures Appear Number-Kind Code<sup>2 (F known)</sup> <sup>US-</sup> 3,497,981 03-03-1970 G. H. Tyne <sup>US-</sup> 3,535,018 A. J. Vasilatos 2 10-20-1970 US-4,585,298 04-29-1986 Mori 3 <sup>US-</sup> 4,765,701 08-23-1988 Cheslak 4 <sup>US-</sup> 5,036,435 07-30-1991 Tokuda et al. 5 06-29-1993 <sup>US-</sup> 5,222,795 Hed 6 01-12-1999 Abe et al. 7 US- 5,857,761 US- 5,987,199 11-16-1999 Zarian et al. 8 US- 6,039,553 03-21-2000 Lundin et al. 9 US- 6.095.673 08-01-2000 Goto et al. 10 US- 6,169,836 B1 01-02-2001 Sugiyama et al. 11 US- 6,215,947 B1 Abramowicz et al. 04-10-2001 12 <sup>US-</sup> 6,278,827 B1 08-21-2001 Sugiyama et al. 13 07-16-2002 Lundin US- 6,419,383 B1 14 US- 6,488,397 B1 12-03-2002 Masutani et al. 15 US- 6,563,993 B1 05-13-2003 Imamura et al. 16 <sup>US-</sup> 6,577,794 B1 Currie et al. 17 06-10-2003 Hata US- 6.597,834 B1 07-22-2003 18 19 <sup>US-</sup> 6,637,924 B2 10-28-2003 Pelka et al.

		FOREI	GN PATENT DOCU			_
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>4</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Ľ
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Examiner Signature	FI Rule	Date Considered	3/4/6	

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Sheet 2

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/796,830			
Filing Date	03/09/2004			
First Named Inventor	Roger F. Buelow II			
Art Unit	2874			
Examiner Name				
Attorney Docket Number	2506			

	-		U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code <sup>2 (F known)</sup>			Figures Appear
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		FORE	IGN PATENT DOCU	MENTS		
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Substitute for form 1449/PTO

Application Number 10/796,830

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/796,830	
Filing Date	03/09/2004	
First Named Inventor	Roger F. Buelow II	
Art Unit	2874	
Examiner Name		
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	_	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
MR	21	Photograph of light pipe shown at the following two exhibitions:	
	21	(1) Defense Advanced Research Projects Agency (DARPA), attended by military and miltary contractors,	
>	21	March 9-11, 2004 (DARPA)	•
M	21	(2) Light Fair, Las Vegas, Nevada, attended by the public, March 31 - April 2, 2004.	
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M	1	<sup>US-</sup> 4,907,132	03-06-1990	Parker	
	2	<sup>US-</sup> 5,312,569	05-17-1994	Mezei	
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STA	TEMENT E	YA	PPLICANT	First Named Inventor	Roger F. Buelow II	
"				Art Unit		
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Sheet	1,	a,	1	Attorney Docket Number	2508	/

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
SIR	1	(Atty. Docket # 2507) Specification and drawings for US Patent Application SN 10/797,383, filed 10-Mar-04,					
	1	for Side-Light Extraction by Light Pipe-Surface Alteration by Chris H. Jenson, John M. Davenport, Roger F. Buelow II, and Brian Doucet.					
1	1	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.					
	2	(Atty. Docket # 2510) Specification and drawings for US Patent Application SN 10/797,761, filed 10-Mar-04,					
	2	for Light Pipe with Side Light Extraction by Roger F. Buelow II, Chris H. Jenson, and John M. Davenport.					
	2	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.					
	3	(Atty. Docket # 2568) Specification and drawings for US Patent Application SN 10/108,279, filed 18-Apr-05,					
IT	3	for Efficient Luminaire with Directional Side-Light Extraction by William J. Cassarly, Thomas L.R. Davenport, John M. Davenport, and Chris H. Jenson.					
A.	3	This application is copending with the present application and is assigned, or subject to assignment, to the same assignee as the present application.					

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